

# The Einstein field equations

## Part I: the right-hand side

Atle Hahn

GFM, Universidade de Lisboa

Lisbon, 21st January 2010

### Contents:

§1 Einstein field equations: overview

§2 Special relativity: review

§3 Classical and relativistic fluid dynamics

§4 The stress energy tensor

## References:

- Book by Wald: “General Relativity”
- Wikipedia

## Prerequisites:

- Special relativity (basic results)
- General relativity (vague idea)
- Differential Geometry (basic knowledge) OR  
Classical Fluid Dynamics (basic knowledge)

## Aims:

- Preparation for future lectures (Big bang, Schwarzschild solution, Gödel cosmos)
- (Hopefully useful) supplement for the study of Wald’s book

# 1 Einstein field equations: overview

Let us consider a fixed “space time”  $(M, g)$ .

We use “abstract index notation”:

- We write  $g_{ab}$  for the type  $(2,0)$  tensor  $g$
- $g^{ab}$  denotes the type  $(0,2)$  tensor given by  $\sum_b g_{ab}g^{bc} = \delta_a^c$   
(where  $\delta_c^a := \delta_{ac}$  Kronecker symbol)
- $R_{abc}^d$  denotes the curvature tensor associated to  $(M, g)$
- We set  $R_{ab} := \sum_c R_{acb}^c$  (“Ricci tensor”)
- We set  $R := \sum_{a,b} R_{ab}g^{ab}$  (“scalar curvature”)

**Remark 1** Elementary reformulation for  $M = \mathbb{R}^4$  :

Pseudo-Riemannian metric  $g_{ab}$  on  $M = \mathbb{R}^4$  can be considered as a matrix  $(g_{ab})_{1 \leq a, b \leq 4}$  of smooth functions  $g_{ab} : \mathbb{R}^4 \rightarrow \mathbb{R}$  such that for each  $x \in \mathbb{R}^4$

- The matrix  $(g_{ab}(x))_{a,b}$  is symmetric
- $(g_{ab}(x))_{a,b}$  has three (strictly) positive eigenvalues and one (strictly) negative eigenvalue

$\Rightarrow (g_{ab})_{1 \leq a, b \leq 4}$  determined by the 10 functions

$$g_{11}, g_{22}, g_{33}, g_{44}, g_{12}, g_{13}, g_{14}, g_{23}, g_{24}, g_{34}$$

$(g^{ab})_{1 \leq a, b \leq 4}$ : Matrix of functions  $g^{ab} : \mathbb{R}^4 \rightarrow \mathbb{R}$  given by

$$(g^{ab}(x))_{ab} = ((g_{ab}(x))_{a,b})^{-1} \text{ for each } x \in \mathbb{R}^4$$

Curvature tensor  $(R_{abc}^d)_{1 \leq a, b, c, d \leq 4}$ : family of functions  $R_{abc}^d : \mathbb{R}^4 \rightarrow \mathbb{R}$  given explicitly as

$$R_{abc}^d(x) := \partial_b \Gamma_{ac}^d(x) - \partial_a \Gamma_{bc}^d(x) + \sum_i (\Gamma_{ac}^i(x) \Gamma_{ib}^d(x) - \Gamma_{bc}^i(x) \Gamma_{ia}^d(x))$$

where

$$\Gamma_{ab}^c(x) := \frac{1}{2} \sum_d g^{cd}(x) (\partial_a g_{bd}(x) + \partial_b g_{ad}(x) - \partial_d g_{ab}(x))$$

$R_{ab}$ ,  $a, b \leq 4$ , and  $R$  are functions on  $M = \mathbb{R}^4$  given by

$$R_{ab}(x) = \sum_c R_{acb}^c(x), \quad R(x) = \sum_{a,b} R_{ab}(x) g^{ab}(x)$$

for each  $x \in \mathbb{R}^4$ .

## Convention 1

- Index set  $\{1, 2, 3, 4\}$  instead of  $\{0, 1, 2, 3\}$
- Einstein sum convention: e.g. we write

$$R_{ab}g^{ac} \text{ instead of } \sum_a R_{ab}g^{ac}$$

$$R_a^a \text{ instead of } \sum_a R_a^a$$

- Normal rules for raising/lowering indices: e.g. we write

$$R_b^c \text{ instead of } R_{ab}g^{ac} = \sum_a R_{ab}g^{ac}$$

$$v_a v^a \text{ instead of } g_{ab}v^b v^a = \sum_{a,b} g_{ab}v^b v^a$$

## 1.1 Vacuum case

**Basic problem:** For given  $M$  and  $\Lambda \in \mathbb{R}$  (“cosmological constant”) find  $g_{ab}$  such that

$$R_{ab} - \frac{1}{2}Rg_{ab} + \Lambda g_{ab} = 0 \quad (1)$$

is fulfilled.

System of 10 non-linear PDEs of second order!

**Example 1** A Schwarzschild black hole solution  $g_{ab}$  on  $M = (\mathbb{R}^3 \setminus \{0\}) \times \mathbb{R}$ : Solution of Eq. (1) which is “rotation-invariant” and “static”.

It is completely specified by a parameter  $m \in \mathbb{R}_+$  (the “mass” of black hole).

**Digression 1** If  $g_{ab}$  fulfills Eq. (1) then, using  $R = R_{ab}g^{ab}$  and  $g_{ab}g^{ab} = \delta_a^a = 4$  we obtain

$$R - \frac{1}{2}R4 + \Lambda 4 = 0$$

and therefore

$$R = 4\Lambda$$

Thus  $R$  is a constant function and

$$R_{ab} = \Lambda g_{ab}$$

$\Rightarrow (M, g)$  is a “Einstein manifold”.

Special case  $\Lambda = 0$ :  $R_{ab} = 0$ , i.e.  $(M, g)$  is “Ricci flat”.

## 1.2 General case

Real space-time contains matter & radiation. Most important cases:

- A perfect fluid is present ( $\rightarrow$  we have 4-velocity field  $u^a$  and a density field  $\rho$ )
- Electromagnetic radiation is present ( $\rightarrow$  we have a 4-potential  $A_a$ )

Now consider general situation where (matter or radiation) field  $\Psi$  is present, e.g.  $\Psi = (u^a, \rho)$  or  $\Psi = A_a$ .

**Assumption 1:** Equations of motions for  $\Psi$  are known, i.e.

$$F(g, \Psi) = 0$$

for a known function  $F$ .

**Assumption 2:** The tensor

$$T_{ab} = T_{ab}(g, \Psi) \quad (\text{“the stress energy tensor”})$$

is known explicitly (see Sec. 4.2 for a definition)

**Example 2**  $\Psi$  is a Klein-Gordon field  $\phi$  on  $(M, g)$ , i.e. a field  $\phi : M \rightarrow \mathbb{R}$  with equation of motion

$$F(g, \phi) = (\nabla^a \nabla_a - m^2)\phi = 0, \quad m \in \mathbb{R}$$

The associated stress energy tensor is (see Digression 5 below)

$$T(g, \phi) = \nabla_a \phi \nabla_b \phi - \frac{1}{2} g_{ab} (\nabla_c \phi \nabla^c \phi + m^2 \phi^2)$$

( $\nabla^a$ : Levi-Civita connection)

**Basic problem:** For given  $M$  and  $\Lambda \in \mathbb{R}$  find  $(g, \Phi)$  such that

$$R_{ab} - \frac{1}{2}Rg_{ab} + \Lambda g_{ab} = \frac{8\pi G}{c^4}T_{ab}(g, \Phi) \quad (2a)$$

$$F(g, \Phi) = 0 \quad (2b)$$

are fulfilled where  $c$  is the speed of light and  $G$  Newton's gravitational constant.

**Remark 2** Eqs. (2a), (2b) is considerably more difficult than Eq. (1). We must find  $g$  and  $\Phi$  simultaneously!

**Convention 2** For most of the talk (exception: Sec. 2) we use physical units such that  $c = 1$  and  $G = 1$

Most interesting situations involve matter/radiation

- Friedmann-Robertson-Walker model for the big bang (perfect fluid)
- Schwarzschild solution for a normal star (perfect fluid)
- Gödel cosmos (perfect fluid)
- Black hole with electric charge (electromagnetic field)



## 2 Special relativity: review

Let  $c > 0$  be speed of light (in this section not necessarily  $c = 1$ )

Minkowski space:  $(\mathbb{R}^4, \langle \cdot, \cdot \rangle_L)$  where

$$\langle x, y \rangle_L = -x_0 y_0 + \sum_{i=1}^3 x_i y_i$$

We set

$$|x| := \sqrt{|\langle x, x \rangle_L|}$$

$O(1, 3)$  denotes “Lorentzgroup”, i.e. automorphism group of  $(\mathbb{R}^4, \langle \cdot, \cdot \rangle_L)$ . Explicitly:

$$O(1, 3) = \{A \in \text{GL}(\mathbb{R}^4) \mid \langle Ax, Ay \rangle_L = \langle x, y \rangle_L \text{ for all } x, y \in \mathbb{R}^4\}$$

Let us now work with a concrete basis of  $\mathbb{R}^4$ , namely the standard basis  $(e_i)_i$  of  $\mathbb{R}^4$ . Using the “concrete” index notation w.r.t. this basis we have

$$\langle x, y \rangle_L = \eta_{ab} x^a y^b = x^a y_a$$

where

$$(\eta_{ab})_{ab} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

For a  $\vec{v} = (v_1, v_2, v_3) \in \mathbb{R}^3$  we define the corresponding 4-vector  $v_0^a$  by

$$v_0^a = (c, \vec{v}) = (c, v_1, v_2, v_3)$$

If  $|\vec{v}| < c$  we introduce the normalized 4-vector  $v^a$  by

$$v^a := c \frac{v_0^a}{|v_0^a|} = \frac{1}{\sqrt{1 - |\vec{v}|^2/c^2}} (c, v_1, v_2, v_3)$$

Clearly, the definitions above imply

$$\langle v^a, v^a \rangle_L = v_a v^a = -c^2 \quad (3)$$

For a particle of “rest mass”  $m$  moving with velocity vector  $\vec{v}$  (with respect to the inertial system  $(e_i)_i$ ) we call the corresponding normalized 4-vector the 4-velocity and introduce the “momentum 4-vector”  $P^a$  by

$$P^a := m v^a \quad (4)$$

**Digression 2** From definitions

$$E := c P^0 = \frac{1}{\sqrt{1 - |\vec{v}|^2/c^2}} m c^2$$

If particle at rest (in our inertial system) then  $\vec{v} = 0$  so

$$E = m c^2$$

Moreover,

$$P_a P^a = -c^2 m^2$$

or, equivalently,

$$\frac{1}{c^2} E^2 = (P^0)^2 = c^2 m^2 + \sum_i P^i P_i$$

# 3 Classical and relativistic fluid dynamics

## 3.1 Classical fluid dynamics

Consider fluid (liquid or gas) in domain  $D \subset \mathbb{R}^3$ .

For simplicity take  $D = \mathbb{R}^3$ .

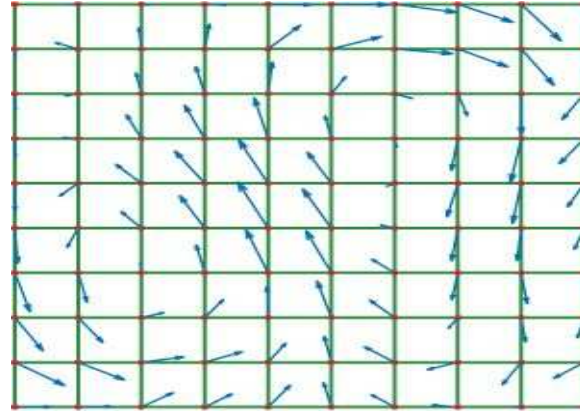


Figure 2 - A velocity field. Each point stores a velocity.

$\rho(x, t)$ : mass-density of fluid

$\vec{u}(x, t)$ : velocity field of fluid

$T(x, t)$ : temperature distribution of fluid

Assume: Equation of state for fluid is known, i.e.

$$p = f(\rho, T) \tag{5}$$

where  $f$  is a known function.

**Example 3** For an ideal gas we have  $f(\rho, T) = c \cdot \rho T$  where  $c$  is a constant

### 3.1.1 Special case: perfect fluid (situation)

Special case: perfect fluid (situation)

- fluid is inviscid (= has vanishing viscosity)
- fluid in “thermal equilibrium”, i.e. we have  $T(x, t) = T_0$  where  $T(x, t)$  is temperature distribution in fluid and  $T_0$  is a constant

Mass conservation & momentum conservation  $\Rightarrow$

$$\frac{\partial}{\partial t}\rho + \vec{\nabla}(\rho\vec{u}) = 0 \quad \text{“continuity equation”} \quad (6)$$

$$\rho\left(\frac{\partial}{\partial t}\vec{u} + (\vec{u} \cdot \vec{\nabla})\vec{u}\right) = -\vec{\nabla}p \quad \text{“Euler equation”} \quad (7)$$

where

$$p(x, t) := f(\rho(x, t), T_0) \text{ for all } x \text{ and } t \quad (8)$$

4 PDEs of first order in  $t$  for 4 unknown functions  $\rho, u_1, u_2, u_3$

One can expect that there exists a unique solution  $(\rho, \vec{u})$  for every “nice” initial configurations  $\rho(x, 0)$  and  $\vec{u}(x, 0)$

### Digression 3

Relation  $p = f(\rho, T)$  is invertible for fixed  $T$ , i.e.

$$\rho = g(p, T)$$

for a suitable function  $g$ .

$\Rightarrow$  We can take  $p$  as unknown function (instead of  $\rho$ ) and use Euler and continuity equations with Eq. (8) replaced by

$$\rho(x, t) := g(p(x, t), T_0) \text{ for all } x \text{ and } t$$

Useful when fluid is “almost incompressible”, i.e.

$$\rho = g(p, T_0) \approx \rho_0 \text{ where } \rho_0 \text{ is a constant.}$$

Then use idealization:

$$\rho = g(p, T_0) = \rho_0$$

(fluid “totally incompressible”).

The continuity equation and Euler equation simplify:

$$\vec{\nabla} \cdot \vec{u} = 0 \tag{9}$$

$$\frac{\partial}{\partial t} \vec{u} + (\vec{u} \cdot \vec{\nabla}) \vec{u} = -\vec{\nabla} p / \rho_0 \tag{10}$$

4 PDEs of first order in  $t$  for 4 unknown functions  $p, u_1, u_2, u_3$ .

### 3.1.2 The general case

Drop condition that fluid is inviscid  $\Rightarrow$

Generalization of Euler equation

$$\rho \left( \frac{\partial}{\partial t} \vec{u} + (\vec{u} \cdot \vec{\nabla}) \vec{u} \right) = -\vec{\nabla} \mathbb{T}(\vec{u}, \rho) \quad (11)$$

with

$$\vec{\nabla} \mathbb{T}(\vec{u}, \rho) := \sum_{i,j} \partial_i T_{ij}(\vec{u}, \rho) e_j$$

where  $T_{ij}(\vec{u}, \rho)$  is the corresponding stress tensor, cf. Sec. 4 below. (if  $p$  is considered to be the free variable one uses  $\mathbb{T}(\vec{u}, p)$  instead of  $\mathbb{T}(\vec{u}, \rho)$ ).

Special case: fluid is “Newtonian” and incompressible (with constant density  $\rho = \rho_0$ )  $\Rightarrow$

$$\vec{\nabla} \mathbb{T}(\vec{u}, p) = \vec{\nabla} p - \nu \Delta \vec{u}$$

where  $\nu > 0$  is viscosity (cf. Sec. 4.1).

$\Rightarrow$  Eq. (11) reads

$$\rho_0 \left( \frac{\partial}{\partial t} \vec{u} + (\vec{u} \cdot \vec{\nabla}) \vec{u} \right) = -\vec{\nabla} p + \nu \Delta \vec{u} \quad \text{“Navier Stokes equation”} \quad (12)$$

One expects that there exists a unique solution  $(\vec{u}, p)$  for every “nice” initial configurations  $\vec{u}(x, 0)$  and  $p(x, 0)$

## Digression 4

Most general situation: Drop condition of thermal equilibrium (i.e. condition  $T(x, t) = T_0$ ).

⇒ temperature distribution  $T(x, t)$  will be additional unknown function.

⇒ we need 5 equations, namely the 4 equations above + an additional equation.

This additional equation is obtained from energy conservation

(This equation will contain additional material constants like specific heat capacity and the thermal conductivity of fluid)

### 3.2 Relativistic fluid dynamics

Consider perfect fluid situation above: velocity field  $\vec{u}$ , density  $\rho$ , constant temperature  $T_0$ , equation of state  $p = f(\rho, T_0)$ .

**Question:** What is the relativistic modification of the continuity and Euler equation?

**Answer:** If  $u^a$  is normalized 4-vector of  $\vec{u}$ , i.e.

$$u^a = \frac{1}{\sqrt{1 - |\vec{u}|^2}}(1, u_1, u_2, u_3), \quad (\text{so } u_a u^a = -1)$$

then

$$u_a \partial^a \rho + (\rho + p) \partial^a u_a = 0 \quad (13)$$

$$(\rho + p) u_a \partial^a u_b + (\eta_{ab} + u_a u_b) \partial^a p = 0 \quad (14)$$

**Exercise 1** Show that  $\frac{\partial}{\partial t} \rho + \vec{\nabla} \cdot (\rho \vec{u}) = 0$  is non-relativistic limit of Eq. (13) (note  $p \ll \rho$  in non-relativistic limit).

**“Miracle”:** Eqs. (13) and (14) can be rewritten in amazingly short and symmetric form

$$\partial^a S_{ab} = 0, \quad \text{where} \quad (15)$$

$$S_{ab} := (\rho + p)(u_a u_b) + p \eta_{ab}$$

**Exercise 2** Show that Eq. (13) and Eq. (14) are equivalent to

$$u^c \partial^a S_{ac} = 0, \quad \text{and}$$

$$\partial^a S_{ab} - (u^c \partial^a S_{ac}) u_b = 0$$

Later: we explain “miracle” with the help of “stress energy tensor”



# 4 The stress energy tensor

## 4.1 The classical stress tensor

The “stress tensor” is a concept describing the forces inside a continuous body, like a solid body, a liquid or a gas which are caused by outside forces (like gravity or forces on the surface) and movements inside the body (in the case of a liquid or gas).

Following general features:

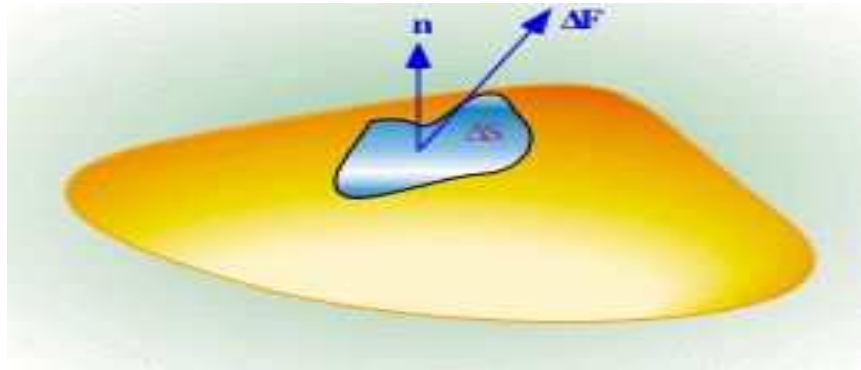
- Let  $D \subset \mathbb{R}^3$  be the space taken by the body. The corresponding stress tensor is a tensor field  $T_{ij}$  on  $D$ .
- $T_{ij}$  is in general time-dependent and depends on the variables describing of the body. For example, in the case of a liquid or gas  $T_{ij} = T_{ij}(\vec{u}, \rho, t)$ .
- Definition of  $T_{ij}$  is based on choosing suitable planes  $H$  in  $\mathbb{R}^3$  (reason, see below)

**Question:** Why do we have to work with planes  $H$ ?

**Answer:** Assume for simplicity that solid body or liquid considered is in equilibrium

Body in equilibrium  $\Rightarrow$  in each point  $x \in D$  the net force is zero.

Now let us assume that, for some plane  $H$  through  $x$ , we would suddenly remove the part of the body “to the right” (or “to the left”) of  $H$ . In this moment the forces would no longer be in equilibrium. We would immediately, obtain a non-zero force  $\Delta\vec{F}$  acting on some area element  $\Delta S$  in  $H$  containing  $x$



**Observation 1**  $\Delta\vec{F}$  will depend on the area of  $\Delta S$ .

**Observation 2**  $H$  can be described uniquely by its normal vector  $\vec{n}$  in  $x$ .

**Convention 3** The length (resp. area resp. volume) of a time interval  $\Delta t$  (resp. area element  $\Delta S$  resp. volume element  $\Delta V$ ) will also be denoted by  $\Delta t$  (resp.  $\Delta S$  resp.  $\Delta V$ ).

**Definition 1** i) For each unit vector  $\vec{n} \in \mathbb{R}^3$  we define:

$$\vec{T}(\vec{n}) := \lim_{\Delta S \rightarrow 0} \frac{\Delta\vec{F}}{\Delta S}$$

More precisely: we set

$$\vec{T}(\vec{n}) := \lim_{\Delta S \rightarrow 0} \frac{\Delta \vec{F}(\vec{n}, \Delta S)}{\Delta S}$$

where  $\Delta \vec{F}(\vec{n}, \Delta S)$  is the force acting on the area element  $\Delta S \subset H$  after removing the part of the body on the “positive” side of the plane  $H$  (which is the plane through  $x$  and orthogonal to  $\vec{n}$ ).

ii) We generalize this by setting, for arbitrary  $\vec{v}$ ,

$$\vec{T}(\vec{v}) := \vec{T}(\vec{v}/|\vec{v}|) \cdot |\vec{v}|$$

if  $|\vec{v}| \neq 0$  and  $\vec{T}(\vec{v}) = 0$  otherwise.

**Observation 3** The map  $\mathbb{R}^3 \ni \vec{v} \mapsto \vec{T}(\vec{v}) \in \mathbb{R}^3$  is linear. Accordingly, this map is a tensor of type (1,1) and will be denoted by  $T_j^i$  or by  $T_j^i(x)$ .

Proof is non-trivial, cf. Wikipedia entry “stress tensor”

**Definition 2** The “stress tensor” of the body considered is the tensor field  $T_{ij}$  on  $D$ , which is given by

$$T_{ij}(x) := g_{ik} T_j^k(x)$$

(If  $T_{ij}$  are the concrete components w.r.t to standard basis  $(e_i)$  of  $\mathbb{R}^3$  we have

$$T_{ij}(x) = T_j^i(x) = (\vec{T}(e_j))_i$$

## Observation 4

1.  $T_{ij}$  is symmetric.
2. If system in equilibrium then  $\sum_i \partial_i T_{ij} = 0$
3. Let  $A \in GL(3, \mathbb{R})$  and let  $(e'_j)_j$  be the basis of  $\mathbb{R}^3$  given by  $e'_j = Ae_j$ . Then if  $(T'_{ij})_{ij}$  are the components of  $T_{ij}$  w.r.t. the new basis we have

$$T'_{ij} = A_i^k A_j^l T_{kl}$$

(this follows immediately from the tensor property of  $T_{ij}$ ).

Proofs of first two statements: see again Wikipedia article.

Consider fluid situation above: velocity field  $\vec{u}$ , density  $\rho$ , constant temperature  $T_0$ , equation of state  $p = f(\rho, T_0)$ .

**Example 4 (Inviscid fluid)**

$$T_{ij}(\vec{u}, \rho) = p \delta_{ij} = p \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

where  $p = f(\rho, T_0)$ . (This equation is just the definition of “inviscid”)

**Example 5 (Incompressible Newtonian fluid)**

$$T_{ij}(\vec{u}, p) = p \delta_{ij} - \nu(\partial_i u_j + \partial_j u_i)$$

where  $\nu$  is the viscosity.

## 4.2 The stress energy tensor

**Aim:** Find tensor field  $\tilde{T}_{ab}$ ,  $a, b \in \{0, 1, 2, 3\}$  on  $(\mathbb{R}^4, \langle \cdot, \cdot \rangle_L)$ , defined in an analogous way as the classical stress tensor  $T_{ij}$  such that “subtensor” field  $\tilde{T}_{ij}$ ,  $i, j \in \{1, 2, 3\}$  coincides with  $T_{ij}$  if system is at rest.

More precisely: If  $T_{ij}^{(t)}(x)$  is classical stress tensor in  $x \in \mathbb{R}^3$  at time  $t$

$$\tilde{T}_{ij}(t, x) = T_{ij}^{(t)}(x) \quad \text{for } t \in \mathbb{R}, i, j \in \{1, 2, 3\} \quad (16)$$

must hold for all  $\vec{x} \in D$  in which no movement of the body is present at time  $t$ .

### Obvious Replacements:

- point  $x \in \mathbb{R}^3 \rightarrow \tilde{x} = (t, x) \in \mathbb{R}^4$
- plane  $H$  through  $x \rightarrow$  hyperplane  $\tilde{H}$  through  $\tilde{x}$
- area element  $\Delta S (\ni x) \rightarrow$  volume element  $\Delta V (\ni \tilde{x})$
- unit vectors  $\vec{n} \rightarrow$  normalized 4-vector  $n^a$  (i.e.  $|n^a| = 1$ ).

### Non-obvious Replacement:

- Force vector  $\Delta \vec{F} \rightarrow$  momentum 4-vector  $\Delta P^a$ .

As a motivation observe that for  $\Delta V = \Delta S \times \Delta t$  and  $\Delta \vec{F} := \Delta \vec{P} / \Delta t$  we have

$$\frac{\Delta \vec{P}}{\Delta V} = \frac{\Delta \vec{P}}{\Delta t} \frac{1}{\Delta S} = \frac{\Delta \vec{F}}{\Delta S}$$

Naive ansatz:

$$T^b(n^a) := \lim_{\Delta V \rightarrow 0} \frac{\Delta P^b}{\Delta V} \quad (17)$$

However: certain difficulties in interpretation of

$$\Delta P^b = \Delta P^b(n^a, \Delta V)$$

(Not all  $n^a$  can be treated analogously; sometimes “removal argument” is necessary and sometimes not)

$\Rightarrow$  We work with standard basis  $(e_i)_i$  of  $\mathbb{R}^4$  and consider only special case  $n^a \in \{e_0, e_1, e_2, e_3\}$ .

- We define  $\Delta P^i(e_0, \Delta V)$ ,  $i = 0, 1, 2, 3$ , to be the “amount” of  $P^i$  contained in the (spacial) volume element  $\Delta V$ .
- We define  $\Delta P^i(e_j, \Delta V)$  for  $j = 1, 2, 3$  and  $\Delta V = \Delta S \times \Delta t$  as the “amount” of  $P^i$  which is created in the time interval  $\Delta t$  inside the spacial volume element

$$\Delta S \times (\mathbb{R}_+ e_i) \subset \mathbb{R}^2 \times (\mathbb{R}_+ \cdot e_i) \cong \mathbb{R}^2 \times \mathbb{R}_+$$

provided that at time  $t$  we have first removed all of the “amount” of  $P^i$  in  $\mathbb{R}^2 \times (\mathbb{R}_+ \cdot e_i)$ .

(here we assume that  $\Delta t$  was chosen such that  $t$  is its left endpoint)

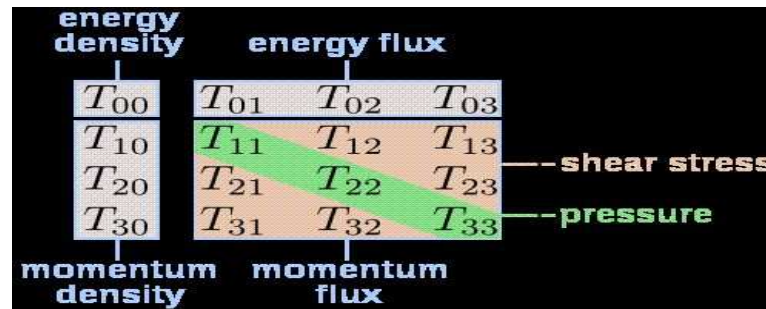
Define  $T_{ij}(x)$  by

$$T_{ij}(x) := T^i(e_j) := \lim_{\Delta V \rightarrow 0} \frac{\Delta P^i(e_j, \Delta V)}{\Delta V} \quad (18)$$

One can see that

- $T_{ij}$  for  $i, j = 1, 2, 3$  indeed coincides with the classical stress tensor
- $T_{i0}$  is the  $P^i$ -density
- $T_{ij}$  for  $j = 1, 2, 3$  is  $P^i$ -flux (density) in the  $e_j$ -direction

We can summarize this in the following picture:





## Observation 5

1.  $T_{ab}$  is symmetric
2. We have  $\partial^a T_{ab} = 0$   
(this follows from energy momentum conservation)
3. Let  $A \in O(1, 3)$  and let  $(e'_i)_i$  be the basis of  $\mathbb{R}^4$  given by  $e'_i = Ae_i$  where  $(e_i)_i$  is the standard basis of  $\mathbb{R}^4$ . Let  $(T'_{ij})_{ij}$  denote the family of numbers which we would have got if we had defined the stress energy “tensor” using  $(e'_i)_i$  as our inertial system instead of  $(e_i)_i$ . Then

$$T'_{ij} = A_i^k A_j^l T_{kl}$$

(This implies that  $T_{ij}$  really is a tensor)

**Remark 3** Definition can be generalized to arbitrary space times  $(M, g)$ . Generalized  $T_{ab}$  will have analogous properties. But one exception: the generalization of  $\partial^a T_{ab} = 0$  will not hold in general.

**Example 6 (Perfect fluid)** Reconsider situation of Sec. 3.2 ( $u^a$  is 4-velocity and  $\rho$  density function of perfect fluid on  $(M, g_{ab})$  at temperature  $T_0$  and equation of state  $p = f(\rho, T_0)$ ):

i) Special case  $(M, g_{ab}) = (\mathbb{R}^4, \eta_{ab})$  and fluid at rest, i.e.  $u^a = (1, 0, 0, 0)$ :

$$T_{ab} = \begin{pmatrix} \rho & 0 & 0 & 0 \\ 0 & p & 0 & 0 \\ 0 & 0 & p & 0 \\ 0 & 0 & 0 & p \end{pmatrix}$$

(cf. the last figure). Observe that we can rewrite  $T_{ab}$  as

$$T_{ab} = (\rho + p)u_a u_b + p \eta_{ab}$$

iii) Special case  $(M, g_{ab}) = (\mathbb{R}^4, \eta_{ab})$  but  $u^a$  arbitrary constant field:

$$T_{ab} := (\rho + p)u_a u_b + p \eta_{ab}$$

This follows from i) by applying the principle of relativity and using the behavior of  $T_{ab}$  under a change of the inertial system.

iii) Special case  $(M, g_{ab}) = (\mathbb{R}^4, \eta_{ab})$  but  $u^a$  arbitrary:

$$T_{ab} := (\rho + p)u_a u_b + p \eta_{ab}$$

This follows from ii) by a locality argument

iv) General case:  $(M, g_{ab})$  and  $u^a$  arbitrary:

$$T_{ab} := (\rho + p)u_a u_b + p g_{ab}$$

**Example 7 (Electromagnetic field)** Let  $(M, g_{ab})$  and let  $A_a$  be the 4-potential of a given electromagnetic field.

i) Special case  $(M, g_{ab}) = (\mathbb{R}^4, \eta_{ab})$ :

$$T_{ab} = \frac{1}{4\pi} (F_{ac}F_b^c - \frac{1}{4}\eta_{ab}F_{cd}F^{cd})$$

where

$$F_{ab} := \partial_a A_b - \partial_b A_a$$

ii) General  $(M, g_{ab})$ :

$$T_{ab} = \frac{1}{4\pi} (F_{ac}F_b^c - \frac{1}{4}g_{ab}F_{cd}F^{cd})$$

where now

$$F_{ab} := \nabla_a A_b - \nabla_b A_a$$

(where  $\nabla_a$  is the Levi-Civita connection associated to  $(M, g_{ab})$ ).

**Digression 5** In fact, there is a heuristic functional derivative formula for an arbitrary field  $\Psi$ , for which a Lagrangian  $\mathcal{L}(g, \Psi)$  is given explicitly:

$$T(g, \Psi) = -\frac{1}{8\pi} \frac{1}{\sqrt{-g}} \frac{\delta S(g, \Psi)}{\delta g}$$

with

$$S(g, \Psi) = \int_M \mathcal{L}(g, \Psi) dvol_g$$

where  $g = \det((g_{ab})_{ab})$ .

For example, the Klein-Gordon field of mass  $m$  has the Lagrangian

$$\mathcal{L}(g, \phi) = -\frac{1}{2}(\nabla_c \phi \nabla^c \phi + m^2 \phi^2)$$

and heuristically we obtain

$$T(g, \phi) = \nabla_a \phi \nabla_b \phi - \frac{1}{2} g_{ab} (\nabla_c \phi \nabla^c \phi + m^2 \phi^2)$$

where  $\nabla_a$  is the Levi-Civita connection associated to  $(M, g_{ab})$ .